



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/330,629	06/11/1999	CLAUDIA CHERNEY STEWART	JG-RP-4796	9658

26418 7590 07/06/2006

REED SMITH, LLP  
ATTN: PATENT RECORDS DEPARTMENT  
599 LEXINGTON AVENUE, 29TH FLOOR  
NEW YORK, NY 10022-7650

EXAMINER

HUI, SAN MING R

ART UNIT PAPER NUMBER

1617

DATE MAILED: 07/06/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>		<b>Applicant(s)</b>	
	09/330,629		STEWART, CLAUDIA CHERNEY	
	<b>Examiner</b>		<b>Art Unit</b>	
	San-ming Hui		1617	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 27 June 2005.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 15-53 is/are pending in the application.
- 4a) Of the above claim(s) 15-40 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 41-53 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
       Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
       Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                        | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)    | Paper No(s)/Mail Date. _____  |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                                    |

### **DETAILED ACTION**

Applicant's amendments and response filed June 27, 2005 have been entered.

Claims 15-53 are pending. Claims 15-40 are withdrawn from consideration without traverse.

The outstanding provisional double patenting rejection is maintained, despite of the abandonment of the conflicting patent application, over the continuation application of the conflicting application for essentially the same reason.

#### ***Double Patenting***

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 41-53 are provisionally rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-12 of copending Application No. 10/662,8486 (hereinafter '848) in view of Field, reference of record. Although the conflicting claims are not identical, they are not patentably distinct from each other because '868 discloses the same method of prophylaxis the

Art Unit: 1617

transmission of viral infection to the recipient. Even though '848 does not expressly disclose the specific herein claimed dosage and regimen of the metallo-cobalt compounds nor the prophylaxis treatment for HIV, such prophylactic treatment and adjustment of dosage and regimen would have been obvious to one of ordinary skill in the art at the time the invention was made. Field teaches HIV as one of the common pathogens to human. It would have been obvious to one of ordinary skill in the art at the time of invention to employ the compounds of '848 to inhibit the transmission of any pathogenic virus including HIV, since the compounds of '848 is known to inhibit any virus transmission. Thus, employing the compounds of '848 in the method of prophylactic treatment for any viral diseases, such as HIV, would be reasonably expected to be effective. Furthermore, optimize the dosage and regimen as recited in the instant application since the optimization of result effect parameters (dosage range, dosing regimens) is obvious as being within the skill of the artisan.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 41-53 are rejected under 35 U.S.C. 103(a) as being unpatentable over Dori (WO93/11140) in view of Cooper et al. (US Patent 4,242,359), references of record in the parent application and Field (Virology, page 26-27, Lippincott-Raven, 1996) and Merck Manual, 16<sup>th</sup> ed., 1992, pages 49-55.

Dori teaches the method of treating viral infection and decreasing viral titer broadly by topically administering the metallo-organic cobalt compounds, including compound No. 96 in the instant specification, with a concentration of 0.5 to 10mg/ml (0.05 to 1% by wt) (See page 13, line 16-19; page 19-27, experiment 1-7; claims 1 and 11). Dori also teaches the dosage form of the metallo-organic cobalt compounds may be ointments, salves, and creams (See page 12, lines 16-17). Dori also teaches the metallo-cobalt compounds are useful in treating viral infection broadly, especially for viruses which are well-known in the art, such as listed in Field et al., Virology (See page 11, line 19-page 12, line 4).

Dori does not expressly teach the method of prophylaxis for Human Immunodeficiency Virus (HIV) infection by topically administering the metallo-organic cobalt compound No. 96 in the instant specification to the site on the subject which is exposed to the HIV. Dori also does not expressly teach the method of using a condom as an applicator to topically apply the compound No.96.

Cooper et al. teaches a method of topical administration of a medical agent by applicators including a condom is known in the art (See abstract and col. 8, line 40-44).

Field teaches the common viral pathogens in human (See Table 4 in page 26-27).

Merck Manual teaches employing anti-infective agents (both antiviral and antibacterial) in antimicrobial chemoprophylaxis as common practice in the pharmaceutical field (See pages 49-55).

Therefore it would have been obvious for one of ordinary skill in the art at the time the invention was made to topically administer the instant compounds to the site on the subject by using a condom as an applicator for the prophylaxis of HIV infection.

One of ordinary skill in the art would have been motivated to utilize the instant compounds for the prophylaxis of HIV infection because the compounds of Dori are known to be effective in treating viral infections and decreasing viral titer, broadly. It is therefore reasonable to expect the very same compounds, including compound 96, to be useful in prophylaxis, or reduction in the incidence of, any viral infections including those caused by HIV strains since, based on Field, HIV1 and HIV2 are known to be pathogenic to human since such metallo-organic compounds can be used to reduce the number and thereby the incidence of HIV.

Furthermore, one of ordinary skill in the art would have been motivated to topically administer the instant compound by using a condom as an applicator because the method of topical administration of pharmaceutical actives by applicators on to the site that may exposed to the HIV infection, such as the vagina, including a condom is known in the art.

### ***Response to Arguments***

Applicant's arguments filed June 27, 2005 averring the cited prior arts' failure to teach the direct virucidal activity of the herein claimed compounds have been fully

considered but they are not persuasive. In Dori, page 17-22, data indicates that the herein claimed compounds are effective in killing the virus or reducing the viral titer (See e.g., Table 1-6). Inhibiting the replication of the virus, as taught by Dori, will reduce the risk the clinical manifestation of the HIV infection, absent evidence to the contrary.

Applicant's arguments filed June 27, 2005 averring the deficiency of the secondary references have been considered, but are not found persuasive. The citing of secondary references is Examiner's attempt to point out that the mode of delivery in the instant case is well-known. Therefore, if the active compounds are known to be useful in treating viral infection, delivering such compounds using the method well-known in the art would be obvious, absent evidence to the contrary.

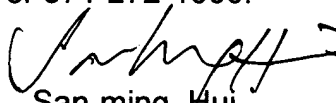
**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to San-ming Hui whose telephone number is (571) 272-0626. The examiner can normally be reached on Mon 9:00 to 1:00, Tu - Fri from 9:00 to 6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Sreeni Padmanabhan, PhD., can be reached on (571) 272-0629. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



San-ming Hui  
Primary Examiner  
Art Unit 1617